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				Application Number 08/412,114				
INFORMATION DISCLOSURE			Filing Date	March 28, 1995	7011	- K		
	STATEMENT BY APPLICANT			First Named Inventor	Twardowski et al.	The The	5	
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Sheet	1	of	19	Attorney Docket Number	08366.0005-00	10	- <i>W</i>	

Examiner	Cite	Document Number	Issue or	Name of Patentee or	Pages, Columns, Lines, Where
Initials	No.³	No.' Number-Kind Code <sup>2</sup> (if known) Publication Date MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
		US- 5,156,592 (MX A)	10-20-1992	Martin	
_	,	US- 4,493,696 (MX5)	01-15-1985	Uldall	
		US- 3,964,488 (MX M1)	06-22-1976	Ring et al	
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	•	US- 4,981,477 (MX R)	01-01-1991	Schon	
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		US- 5,209,723 (MX V)	05-11-1993	Twardowski	· · · · · · · · · · · · · · · · · · ·
		US-5,405,320 (MX W)	04-11-1995	Twardowski	
		US- 4,405,313 (TX 5)	09-20-1983	Sisley et al.	<del></del>
		US- 5,057,073 (TX 6)	10-15-1991	Martin	
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		US- 4,403,983 (TX 35)	09-13-1983	Edelman	
-		US- 5,350,358 (TX 88)	09-27-1994	Martin	<u> </u>
		US- 5,324,274 (TX 89)	06-28-1994	Martin	
-		US- 5,395,316 (TX 91)	03-07-1995	Martin	<u> </u>
		US- 5,053,003 (TX 92)	10-01-1991	Dadson	

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Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures	Translation <sup>6</sup>	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)			Appear		
		Canadian Patent No. 1,150,122 (MX 2)	07-19-1983	Martin			
1.7		Canadian Patent No. 1,092,927 (MX 4)	01-06-1981	Martin			
,		EP 523,119 (MX H)	01-11-1995	Martin			
		Australian Patent No. 2,013,877 (MX J)	11-19-1995	Martin			
		WO 91/15255 (MX L)	10-17-1991	Martin			
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	Application Number	08/412,114			
INFORMATION DISCLOSURE	Filing Date	March 28, 1995			
STATEMENT BY APPLICANT	First Named Inventor	Twardowski et al.			
STATEMENT DI APPLICANT	Art Unit	Unknown	· -		

STATEMENT BY APPLICANT
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		NONPATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue not city and/or country where published.	e), title of the item umber(s), publisher,	Translation <sup>6</sup>
		INTERFERENCE 103,988 DOCUMENT TITLE		
		Declaration of Interference [paper 9]	08/19/1997	
		Senior Party Twardowski's Preliminary Statement	12-19-1997	
		Preliminary Motions Of Junior Party Martin Pursuant To 37 CFR Section 1.633	12-19-1997	
		Request That Administrative Patent Judge Exercise Discretion To Add Patents To The Interference 37 CFR Sections 1.642 And 1.635	12-19-1997	
		Twardowski Opposition To Motion A	03-10-1998	
		Twardowski Opposition To Motion B	03-10-1998	
		Twardowski Opposition To Motion C	03-10-1998	
		Twardowski Opposition To Motion D	03-10-1998	
		Twardowski Opposition To Contingent Motion E	03-10-1998	· · · · · · · · · · · · · · · · · · ·
		Twardowski Opposition To Motion F And G	03-10-1998	
		Twardowski Opposition To Martin Request To Add Patents	03-10-1998	3.000
	-	Declaration Of Zbylut J Twardowski MD PhD	03-10-1998	
		Declaration Of Gregory Lance Geary MD FACS	03-10-1998	,
	· ·	Declaration Of Nils Juhlin PhD	03-10-1998	
	<u>.</u>	Declaration Of Raymond Bodicky	03-10-1998	
		Declaration Of Donald Anzinger	03-10-1998	· <del></del>
	<u>.</u>	Reply To Twardowski Opposition To Martin Motion A	05-11-1998	
		Reply To Twardowski Opposition To Martin Motion B	05-11-1998	
-		Reply To Twardowski Opposition To Martin Motion C	05-11-1998	
		Reply To Twardowski Opposition To Martin Motion D	05-11-1998	
		Reply To Twardowski Opposition To Martin Motion E	05-11-1998	
		Reply To Twardowski Opposition To Martin Motion F And G	05-11-1998	<u>.                                    </u>
		Reply To Twardowski Opposition To Martin Request To Add Patents	05-11-1998	
		Martin Motion To Strike Portions Of Twardowski Oppositions Pursuant To 37 CFR Section 1.635	05-11-1998	
		Martin Motion to Strike Twardowski Exhibits	05-11-1998	
		Twardowski Response To Reply A	07-01-1998	
		Twardowski Response To Reply B	07-01-1998	<u>,</u>
		Twardowski Response To Reply C	07-01-1998	

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	08/412,114			
Filing Date	March 28, 1995	_		
First Named Inventor	Twardowski et al.			
Art Unit	Unknown			
Examiner Name	Unknown			
Attorney Docket Number	08366.0005-00			

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue nu city and/or country where published.	e), title of the item umber(s), publisher,	Translation
		INTERFERENCE 103,988 DOCUMENT TITLE		
Ī	· .	Twardowski Response To Reply D	07-01-1998	
		Twardowski Response To Reply E	07-01-1998	
		Twardowski Response To Reply F And G	07-01-1998	
		Twardowski Opposition To Motion To Strike Portions Of Oppositions	07-01-1998	<b></b>
		Twardowski Opposition to Martin Motion to Strike Exhibits	07-01-1998	
		Twardowski Motion To Strike Portions Of Martin's Replies	07-01-1998	
		Twardowski's Motion Under 37 CFR Section 1.633(c)(3) To Designate A Claim To Correspond To The Count And Alternative Request For APJ To Act Pursuant To 37 CFR Section 1.610(e)	07-01-1998	-
		Twardowski's Motion Under 35 CFR Sections 1.635 And 1.645 For Permission To File A Belated Motion Under 37 CFR Section 1.633(c)(3)	07-01-1998	
		Twardowski's Contingent Motion to Strike Martin Exhibits	07-01-1998	
		Joint Stipulation Regarding Martin Motion to Strike Twardowski Exhibits and proposed order	06-25-1998	
		Supplemental Declaration Of Zbylut J Twardowski MD PhD	06-24-1998	
		Supplemental Declaration Of Gregory Lance Geary MD FACS	06-29-1998	
		Supplemental Declaration Of Nils Juhlin PhD	06-22-1998	
	·	Supplemental Declaration Of Raymond Bodicky	06-25-1998	
	-	Supplemental Declaration Of Donald Anzinger	06-26-1998	
		Declaration Of Dinah C Davis	07-01-1998	<del></del>
		Declaration Of Jeff E Schwartz Esq	07-01-1998	·
		Martin Reply To Twardowski Opposition To Motion To Strike Portions Of Oppositions	07-16-1998	
		Martin Reply to Twardowski Opposition to Martin Motion to Strike Exhibits	07-16-1998	
		Martin Motion to Strike Twardowski Declarations Pursuant to 37 CFR Section 1.639(a)	07-16-1998	
		Martin Motion to Strike Twardowski Exhibits 46-54 and 58-61	07-16-1998	
		Martin Opposition To Twardowski's Motion Under 37 CFR Sections 1.633(c)(3) To Designate A Claim To Correspond To The Court And Alternative Request For APJ To Act Pursuant To 37 CFR Section 1.610(e)	07-21-1998	
		Martin Opposition To Twardowski Motion Under 37 CFR Sections 1.635 And 1.645 For Permission To File A Belated Motion Under 37 CFR Section 1.633(c)(3)	07-21-1998	
		Martin Opposition to Twardowski Motion to Strike Portions of Martin Replies	07-21-1998	

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Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through

citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Complete if Known IDS Form PTO/SB/08: Substitute for form 1449A/PTO 08/412,114 Application Number **INFORMATION DISCLOSURE** Filing Date March 28, 1995 First Named Inventor Twardowski et al. STATEMENT BY APPLICANT Unknown Art Unit Unknown Evaminer Name

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Sheet	4	of	19	Attorney Docket Number	08366.0005-00

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.						
		INTERFERENCE 103,988 DOCUMENT TITLE		// = //				
		Martin Opposition to Twardowski Contingent Motion to Strike Martin Exhibits	07-21-1998					
		Martin Contingent Motion for Sanctions Pursuant to 37 CFR Section 1.635	07-21-1998					
		Martin Motion To Strike Portions Of Twardowski Responses To Martin Reply A And Martin Reply B	07-22-1998					
		Twardowski Reply Regarding Section 1.633(c)(3) Motion To Designate Claim	08-05-1998					
		Twardowski Reply Regarding Section 1.635 And 1.645 Motion To File Belated 1.633(c)(3) Motion	08-05-1998					
		Twardowski Reply Regarding Motion To Strike Portions Of Martin's Replies	08-05-1998					
		Twardowski Reply to Martin Opposition to Contingent Motion to Strike Martin Exhibits	08-05-1998					
		Twardowski Opposition to Martin Motion to Strike Twardowski Exhibits 46-54 and 58-61	08-05-1998					
		Twardowski Opposition to Martin Motion to Strike Tweardowski Declarations	08-05-1998					
		Twardowski Motion Under 37 CFR Sections 1.635 And 1.645 To Substitute The Second Supplemental Declaration Of Mr Bodicky For The Declaration Of Mr Schwartz	08-05-1998					
		Second Supplemental Declaration Of Raymond Bodicky	08-05-1998					
		Declaration Of Christopher E Kondracki	08-05-1998					
		Supplemental Declaration Of Donald Anzinger	08-05-1998					
		Supplemental Declaration Of Raymond Bodicky	08-05-1998					
		Supplemental Declaration Of Gregory Lange Geary M.D. FACS	08-05-1998					
		Supplemental Declaration Of Nils Juhlin Ph.D.	08-05-1998					
		Supplemental Declaration Of Zbylut J Twardowski M.D. Ph.D.	08-05-1998					
		Twardowski Opposition to Contingent Motion for Sanctions	08-10-1998	<del>- 175 - 17</del>				
		Twardowski Opposition to Martin Motion to Strike Portions of Twardowski Responses	08-11-1998					
		Martin Reply to Twardowski Opposition to Motion to Strike Twardowski Exhibits 46-54 and 58-61	08-20-1998					
		Reply to Twardowski Opposition to Martin Motion to Strike Twardowski Declarations	08-20-1998					
		Martin Motion to Strike Twardowski Exhibits and Declarations	08/20/1998					
		Martin Reply to Twardowski Opposition to Contingent Motion for Sanctions	08/25-1998					
		Martin Contingent Motion to Disqualify Attorneys of Twardowski	08-25-1998	***				

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Sheet	5	of	19	Attorney Docket Number	08366.0005-00	_

NONPATENT LITERATURE DOCUMENTS									
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue nu city and/or country where published.	e), title of the item umber(s), publisher,	Translation <sup>6</sup>					
		INTERFERENCE 103,988 DOCUMENT TITLE	INTERFERENCE 103,988 DOCUMENT TITLE						
		Martin Opposition to Twardowski Motion to Substitute the Second Supplemental Bodicky Declaration for the Schwartz Declaration	08-25-1998						
		Communication of Recent Case Law	08-25-1998						
		Martin Reply to Twardowski Opposition to Martin Motion to Strike Portions of Twardowski's Responses	08-26-1998	**************************************					
		Twardowski Reply to Martin Opposition to the Motion to Substitute the Second Supplemental Bodicky Declaration	09-09-1998						
7		Twardowski Opposition to Martin Motion to Strike Twardowski Exhibits and Declarations	09-09-1998						
		Twardowski Response to Communication of Case Law	09-14-1998						
		Twardowski's Opposition to Martin Contingent Motion to Disqualify Attorneys of Twardowski with Appendices A Through F	09-14-1998						
		Martin Reply to Twardowski Opposition to Motion to Strike Twardowski Exhibits and Declarations	09-24-1998						
		Martin Reply to Twardowski Opposition to Martin Contingent Motion to Disqualify	09-29-1998						
		Motion Under 37 CFR Section 1.635 To Present Further Rationale In Support Of Junior Party Motions C And D That Twardowski's Claims Are Unpatenable For Failing To Comply With The Written Description Requirement Of 35 USC § 112	10-19-1999						
		Twardowski Opposition To Martin's Motion To Present Further Rationale	11-08-1999						
		Twardowski Response To Martin's Further Rationale	11-08-1999						
		Reply To Twardowski's Opposition And Response To Martin's Motion Under 37 CFR Section 1.635 To Present Further Rationale In Support Of Junior Party Motions C And D	11-23-1999						
		Twardowski Response To New Arguments In Martin's Reply	12-14-1999						
		Notice of Relevant Federal Circuit Decision	10-18-1998	<del></del>					
		Decision On Motions [paper 126]	01-08-2001						
		Redeclaration [paper 127]	01-8-2001						
		Preliminary Statement Of Junior Party Martin Pursuant To 37 CFR § 1.621 et seq.	12-19-1997						
		Martin's Motion Under 37 CFR Section 1.640(c) For Reconsideration Of January 8 2001 Decision On Martin's Motion C; Exhibit B - Amendment	02-08-2001						
		Twardowski Notice Regarding New Preliminary Statement	02-20-2001						
		Amended Preliminary Statement of Junior Party Martin Pursuant to 37 CFR § 1.621 et seq.	02-16-2001						

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Application Number	08/412,114						
Filing Date	March 28, 1995	<del></del>					
First Named Inventor	Twardowski et al.						
Art Unit	Unknown						
Examiner Name	Unknown						
Attorney Docket Number	08366.0005-00						

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		INTERFERENCE 103,988 DOCUMENT TITLE			
		Twardowski's Opposition To Martin's Motion For Reconsideration January 8 2001 Decision On Motion C	on Of The	03-01-2001	
		Decision Denying Martin's Request For Reconsideration [paper	133]	03-26-2001	
		Submission Of Recently Located United States Application File	History	03-29-2001	
		Motion Of Junior Party Martin To Consider Newly Discovered P	rior Art	04-02-2001	
		Twardowski's Identification Of Issues For Review At Final Heart To 37 CFR Section 1.640(b)	ing Pursuant	04-06-2001	
		Junior Party Martin's 37 CFR Section 1.640(b) Statement		04-06-2001	
		Twardowski's Motion To Strike Return Or Dismiss Unauthorized Alternatively, Twardowski's Opposition To Martin's Motion To Newly Discovered Prior Art		04-17-2001	
		Decision Dismissing Martin's Motion To Consider Canadian Pater 1,150,122 [paper 142]	ent No.	04-23-2001	
		Declaration Of Jonathan E Last		05-01-2001	
		Declaration A Of Geoffrey S Martin		05-02-2001	
		Declaration B Of Geoffrey S. Martin		05-02-2001	
		Declaration Of Wayne E. Quinton		05-02-2001	<u>-</u>
		Declaration Of Anand Ram		05-02-2001	
		Twardowski's Objections To The Admissibility Of Martin's Evidence	ence	05-18-2001	
		Supplemental Declaration Of Geoffrey S Martin		06-05-2001	****
		Supplemental Declaration Of Todd W Wight		06-04-2001	-
		Agreed Statement Of Anand Ram Pursuant To § 1.672(h)	· /= 12	05-28-2002	
		Senior Party Twardowski's Notice Pursuant To 37 CFR § 1.632 C Argue Abandonment Suppression Or Concealment	Of Intent To	06-20-2002	
		Junior Party Martin's Objections To The Admissibility Of Senior Twardowski's Evidence	Party	07-22-2002	
		Senior Party Twardowski's Supplemental Evidence In Response To Twardowski's Exhibits Introduced During Cross-Examination		08-16-2002	
		Senior Party Twardowski's Notice Pursuant To 37 CFR § 1.671(e	e)	08-16-2002	
		Senior Party Twardowski's Notice Pursuant To 37 CFR § 1.672(b) Index Of Witnesses And Exhibits	) Including	08-16-2002	
		Declaration Of Karl D. Nolph M.D.		08-09-2002	
		Declaration Of Bradford C. Fowler		08-14-2002	
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				Application Number	08/412,114	
INFO	ORMATION I	DISCLOSU	RE	Filing Date	March 28, 1995	·
				First Named Inventor	Twardowski et al.	
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Sheet	7	of	19	Attorney Docket Number	08366.0005-00	

		NONPATENT LITERATURE DOCUMENTS	_	
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue notice city and/or country where published.	e), title of the item umber(s), publisher,	Translation <sup>6</sup>
		INTERFERENCE 103,988 DOCUMENT TITLE		
		Junior Party Martin's Objections To The Admissibility Of Senior Party Twardowski's Case-In-Chief And Rebuttal Declarations And Exhibits	08-30-2002	
		Senior Party Twardowski's Supplemental Evidence In Response To Martin's Objections To The Admissibility Of Twardowski's Evidence	09-19-2002	
		Supplemental Declaration Of Bradford C Fowler	09-13-2002	
		Junior Party Martin's Request For Cross-Examination Pursuant To 37 CFR § 1.672(d)	09-26-2002	
		Senior Party Twardowski's Notice Of Depositions Pursuant To 37 CFR §§ 1.673(e) And 1.673(g)	10-17-2002	
		Joint Stipulation Regarding Filing Deposition Testimony	11-05-2002	
		Letter Regarding The Filing Of The Certified Deposition Transcript Of Bradford C. Fowler	11-22-2002	
		Supplemental To Junior Party Martin's Record	12-05-2002	
		Letter Regarding The Filing And Service Of The Record And Exhibits For Senior Party Twardowski	12-06-2002	
		Notice Of Filing Of Corrected Exhibits To Junior Party Martin's Record	12-13-2002	
	****	Opening Brief At Final Hearing For Junior Party Martin	01-01-2003	
		Proposed Findings Of Fact And Conclusions Of Law In Support Of Opening Brief At Final Hearing For Junior Party Martin	01-10-2003	
		Junior Party Martin's Motion To Suppress Pursuant To 37 CFR § 1.656(h)	01-09-2003	
	·	Notice Of Filing Of Corrected Exhibits To Junior Party Martin's Record	01-10-2003	
		Senior Party Twardowski's Unopposed Motion To File Amended Papers Pursuant To 37 CFR § 1.635	02-26-2003	
		Senior Party Twardowski's Amended Opening Brief For Final Hearing	02-26-2003	
		Senior Party Twardowski's Amended Findings Of Fact And Conclusions Of Law	02-26-2003	
		Twardowski's Amended Motion To Suppress Martin's Priority Evidence [MR 8-215 And MX 1-84] And Related Arguments In Its Opening Brief Pursuant To 37 CFR § 1.656(h)	02-26-2003	
		Senior Party Twardowski's Amended Motion To Suppress Martin's New Patentability Attacks Pursuant To 37 CFR § 1.635	02-26-2003	
		Reply Brief For Junior Party Martin	03-07-2003	
		Junior Party Martin's Response To Senior Party Twardowski's Findings Of Fact And Conclusions Of Law Pursuant To 37 CFR § 1.656(g)	03-07-2003	

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		INTERFERENCE 103,988 DOCUMENT TITLE					
		Junior Party Martin's Opposition To Senior Party Twardowski's Motion To Suppress Martin's Priority Evidence And Related Arguments Pursuant To 37 CFR§ 1.656(h)	03-07-2003				
	_	Junior Party Martin's Opposition To Senior Party Twardowski's Motion To Suppress Martin's New Patentability Attacks Pursuant To 37 CFR § 1.635	03-07-2003				
		Junior Party Martin's Reply In Support Of Its Motion To Suppress Pursuant To 37 CFR § 1.656(h)	03-07-2003				
	•	Notice Of Filing Of Corrected Exhibit To Junior Party Martin's Record	03-07-2003				
	<del></del>	Decision Granting Twardowski's Unopposed Motion [paper 220]	04-01-2003				
		Letter Submitting Transcript Of Final Hearing and Transcript	06-17-2003				
· · · · · · · · · · · · · · · · · · ·		Final Decision Under 37 CFR § 1.658	07-30-2003				
		Corrections To Final Decision Under 37 CFR § 1.658 [paper 225]	08-11-2003				

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INFORMATION DISCLOSURE				Filing Date	March 28, 1995	<del></del>
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		MARTIN RECORD INTERFERE	NCE 103,98	8	
		DESCRIPTION		DOCUMENT DATE	
	- '	Declaration of Todd W. Wight (MR 33-35)		05-04-2001	
		Cross-Examination deposition of Jonathan E. Last (MR 45-116)		04-24-2002	<del> </del>
	·-··	Cross-Examination of Wayne E. Quinton (MR 117-215)		06-11-2002	
		Jointly filed US patent application (Uldall and Martin) entitled "Hemodia for Subclavian Insertion" including declaration and power of attorney, ex September 5, 1979 [MX 3]	-		
		Sheet of drawings with title "SC-400 Patent Pending [ MX 6]			
		Letter from Geoff Martin to Mr. John Nelson, dated August 6, 1991 [M.	X 7]	08-06-1991	
		Distribution agreement between Shiley, Inc. and Vas Cath, dated August 8]	<u> </u>	08-23-1980	
		"A Review of Hemodialysis Catheters and Access Devices" by Robert C Sandra Watkins, Dialysis and Transplantation. Vol. 16, Number 9, Septe 481-485 [MX 9]			
		Letter from the Patent Office regarding certified copy of application Ser 06/254,109 [ MX 10]	rial No.	05-02-2001	
		Certified copy of US Application Ser. No. 06/254,019, as filed April 13,	1981 [MX 11]	04-13-1981	
		Invoices from 1982-1984 from Vas-Cath of Canada Ltd. [ MX 12-MX 8	34]	1982-1984	
		Declaration of Geoffrey S. Martin 12/17/1997 [MX D]		12-17-1997	
		Declaration of Jonathan E. Last 12/17/1997 [MX E]		12-17-1997	
		Declaration of Wayne E. Quinton 12/17/1997 [MX F]		12-17-2007	
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		Declaration "A" Jeffrey A. Weiss, Ph.D. 05/11/1998 [MX X]	<del> </del>	05-11-1998	
		Declaration "B" Jeffrey A. Weiss, Ph.D. 05/11/1998 [MX Y]		05-11-1998	
		File History, including patent application for Serial No. 06/254,019 as fil 13,1981 [MX 1 corrected]	led April	04-13-1981	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet	10	of	19

Complete if Known			
Application Number	08/412,114		
Filing Date	March 28, 1995		
First Named Inventor	Twardowski et al.		
Art Unit	Unknown		
Examiner Name	Unknown		
Attorney Docket Number	08366.0005-00		

MARTIN RECORD INTERFERENCE 103,988			
DESCRIPTION	DOCUMENT DATE		
Photographs of Catheter with Bradford C. Fowler notations 10/23/2002 [MX 302]	10-23-2002		
Handwritten drawings by Karl D. Nolph, M.D. [MX 309]	11-07-2002		

Examiner	Date	
	Date	<b>4</b>
Signature	Considered	

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet	11	of	19

Complete if Known			
Application Number	08/412,114		
Filing Date	March 28, 1995	<del> </del>	
First Named Inventor	Twardowski et al.	<u> </u>	
Art Unit	Unknown	· · · · · · · · · · · · · · · · · · ·	
Examiner Name	Unknown		
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Examiner Initials	. I would depropriately the control for the control and of the district appropriately the of the feature		e), title of the item umber(s), publisher,	Translation <sup>o</sup>
		TWARDOWSKI RECORD INTERFERENCE 103,988		
		DESCRIPTION	DOCUMENT DATE	
		Cross-Examination of Deposition Testimony of Bradford C. Fowler dated October 23, 2002 [A158-260]	10-23-2002	
		Cross-Examination Deposition Testimony of Karl D. Nolph, M.D. dated November 7, 2002 [A261-402]	11-07-2002	
		Notice of Allowability [Paper No. 10/A] dated April 9, 1992 from prosecution history of Martin's 5,156,592 patent [TX 9]	04-09-1992	
		Combined Power of Attorney of Geoffrey S. Martin dated October 21, 1998 from prosecution history of Martin's 5,053,023 patent [TX 10]	10-10-1991	
		Combined Power of Attorney of Geoffrey S. Martin dated April 19, 1991 and Jonathan E. Last dated April 30, 1991, from prosecution history of Martin's 5,156,592 [TX 11]	04-00-1991	
		Information Disclosure Statement dated August 2, 1991 from prosecution history of Martin's 5,156,592 [TX 12]	08-02-1991	
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	· <u> </u>	Examiner Interview Summary [Paper No. 5] for Interview dated March 6, 1996, from prosecution history of Twardowski's US Application No. 08/412,114 [TX 15]	03-06-1996	
		The CIBA Collection of Medical Illustrations, Vol. 5, "Heart," Section 1, Anatomy Prepared by Frank H. Netter, M.D., 1969 [TX 17]	1969	
		Amendment under 37 C.F.R. § 1.111 dated June 11, 1990, from prosecution history of Martin's 5,053,023 patent [TX 18]	06-11-1990	
		Marketing Brochures for Vas-Cath's (Martin) Pre-Curve catheter [TX 19]		1 10000
		PCT Examination Report dated April 11, 1991 for PCT/CA 91/00117 to Vas-Cath Inc. [TX 20]	04-11-1991	
		Instructional Brochures for Quinton (Twardowski) Permcath® and Pediatric Permcath® Catheters [TX 22]		
	· -	Artwork for Quinton (Twardowski) Permcath® Instructional Brochure [TX 23]		
		Artwork for Quinton (Twardowski) Permcath® Instructional Brochure [TX 24]		
		Office Action [Paper No. 4] dated December 7, 1990, from prosecution history of Twardowski's US Application No. 07/461,684 [TX 26]	12-07-1990	
		Response to Requirement for Restriction dated December 18, 1990, from prosecution history of Twardowski's US Application No. 07/461,684 [TX 27]	12-18-1990	
		Amendment dated November 11, 1992, from prosecution history of Twardowski's 5,209,723 patent [TX 28]	11-11-1992	
		Amendment dated May 2, 1994, from prosecution history of Twardowski's 5,405,320 [TX 29]	05-02-1994	

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Signature	Considered	

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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C	Complete if Known					
Application Number	08/412,114					
Filing Date	March 28, 1995					
First Named Inventor	Twardowski et al.					
Art Unit	Unknown					
Examiner Name	Unknown					
Attorney Docket Number	08366.0005-00					

		NONPATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue notice city and/or country where published.	e), title of the item umber(s), publisher,	Translation <sup>6</sup>
		TWARDOWSKI RECORD INTERFERENCE 103,988		
		DESCRIPTION	DOCUMENT DATE	
		Decision on Request for Reconsideration, Christ v. Blake, Interference No. 103,443 (Bd.Pat.App.& Int. 1995) [TX 30]	03-28-1995	
***	,	Photograph depicting configuration of catheter used in Twardowski patient who could not maintain AV blookd access [TX 32]		
		Pressure Points Diagram [TX 33]		
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		Color FEA Analysis Fugures [TX 39]		
		Cross-sectional Dimensions for SD&G, VasCath Soft Cell, and VasCath Niagra catheters [TX 40]		
		Load-Deflection Data from Mooney-Rivlin Analyses [TX 41]		
		Kink Test Report [TX 43]		**************************************
		Flow Test Report [TX 44]		
		Certified translation and French version of Aubaniac, Robert, "L'injection intraveineuse sous-claviculaire, " La Presse Medicale, 60, No. 68, October 25, 1952, pp. 1456-1461 [TX 46]	10-25-1952	X
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Signature	Considered	

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				Application Number	08/412,114	
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	(Use as many sheets	s as necessary)		Examiner Name	Unknown	
Sheet	13	of	19	Attorney Docket Number	08366.0005-00	

		NONPATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue no city and/or country where published.	e), title of the item umber(s), publisher,	Translation
		TWARDOWSKI RECORD INTERFERENCE 103,988		
		DESCRIPTION	DOCUMENT DATE	
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_	-	Catheter Compression Test with Septum Orientation [TX 55]	02-27-1998	-
		Young, W.C., "Elactic Stability," (Chapter 14), Roark's Formula for Stress and Straini, Sixth Edition, 1989, pp. 667-689 [TX 56]	00-00-1989	
		Definition of "diameter: from Webster's Ninth New Collegiate Dictionary, 1990, p. 350 [TX 57]	00-00-1990	
		Certified copy of Decision on Request for Reconsideration, Christ v. Blake, Interference No. 103,443 (Bd. Pat. App. & Int [Certified by Court of Appeals for the Federal Circuit]) [TX 58]	03-30-1995	
		Prosecution history of Spanish Patent No. 2,069,287 (International Application No. PCT/CA91/00117) [TX 59]	04-23-1991	
		Certified copy of prosecution history for Martin's US Application No. 07/261,970 filed October 25, 1988 [TX 60]	10-25-1988	
		Photographic representation of dual lumen hemodialysis catheter made by Quinton Instrument Co. with parallel septum orientation and flat lying exit extensions [TX 61]		
		Excerpts from Dialysis and Transplantation, May, 1982, Volume 11, No. 5 [TX 65]	05-00-1982	
		Excerpts from Dialysis and Transplantation, June, 1982, Volume 11, No. 6 [TX 66]	06-00-1982	
. <u>-</u> Y	<u> </u>	Excerpts from Dialysis and Transplantation, July, 1982, Volume 11, No. 7 TX 67]	07-00-1982	
		Excerpts from Dialysis and Transplantation, August, 1982, Volume 11, No. 8 [TX 68]	08-00-1982	
		Excerpts from Dialysis and Transplantation, September 1982, Volume 11, No. 9 [TX 69]	09-00-1982	, vi.
		Excerpts from Dialysis and Transplantation, November, 1982, Volume 11, No. 11 [TX 70]	11-00-1982	
		Excerpts from Dialysis and Transplantation, December, 1982, Volume 11, No. 12 [TX 71]	12-00-1982	
14		Excerpts from Dialysis and Transplantation, January, 1983, Volume 12, No. 1 [TX 72]	01-00-1983	
		Excerpts from Dialysis and Transplantation, February, 1983, Volume 12, No. 2 [TX 73]	02-00-1983	-
		Excerpts from Dialysis and Transplantation, March, 1983, Volume 12, No. 3 [TX 74]	03-00-1983	1
		Excerpts from Dialysis and Transplantation, April, 1983, Volume 12, No. 4 [TX 75]	04-00-1983	
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		Excerpts from Dialysis and Transplantation, June, 1983, Volume 12, No. 6 [TX 77]	06-00-1983	
		Excerpts from Dialysis and Transplantation, July, 1983, Volume 12, No. 7 [TX 78]	07-00-1983	<del></del>
		Excerpts from Dialysis and Transplantation, August, 1983, Volume 12, No. 8 [TX 79]	08-00-1983	

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				Application Number	08/412,114	
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	ATEMENT BY		· <del>-</del>	First Named Inventor	Twardowski et al.	<u></u>
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Sheet	14	of	19	Attorney Docket Number	08366 0005-00	

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Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue no city and/or country where published.	e), title of the item umber(s), publisher,	Translation <sup>6</sup>
		TWARDOWSKI RECORD INTERFERENCE 103,988		
		DESCRIPTION	DOCUMENT DATE	
		Excerpts from Dialysis and Transplantation, September, 1983, Volume 12, No. 9 [TX 80]	09-00-1983	
		Excerpts from Dialysis and Transplantation, May, 1984, Volume 13, No. 5 [TX 81]	05-00-1984	
		Excerpts from Dialysis and Transplantation, June, 1984, Volume 13, No. 6 [TX 82]	06-00-1984	
		Excerpts from Dialysis and Transplantation, July, 1984, Volume 13, No. 7 [TX 83]	07-00-1984	
		Excerpts from Dialysis and Transplantation, September, 1984, Volume 13, No. 9 [TX 84]	09-00-1984	
		Excerpts from Dialysis and Transplantation, October, 1984, Volume 13, No. 10 [TX 85]	10-00-1984	
		Excerpts from Dialysis and Transplantation, March, 1985, Volume 14, No. 3 [TX 86]	03-00-1985	
		Excerpts from Dialysis and Transplantation, May, 1985, Volume 14, No. 5 [TX 87]	05-00-1985	
· · · · ·		Excerpts from Dialysis and Transplantation, August, 1984, Volume 13, No. 8 [TX 93]	08-00-1984	
		Abbreviated Curriculum Vitae of Karl D. Nolph, M.D. [TX 94]	00-00-0000	· · · · · · · · · · · · · · · · · · ·
		University of Missouri Invention Disclosure NO. 85-UMC-044 dated June 7, 1985 [TX 95]	06-07-1985	
		University of Missouri Invention Disclosure No. 89-UMC-003 dated June 7, 1985 [TX 96]	07-11-1988	
		Resume of Bradford C. Fowler [TX 97]	00-00-0000	
		Agreement between Quinton Instrument Co. and the University of Missouri dated August 15, 1988 [TX 98]	08-15-1988	
		Correspondence between Bradford C. Fowler and University of Missouri personnel Vincent Kell, dated August 17, 24, and 31, 1988 concerning the Confidentiality Agreement of Twardowski Exhibit 98 [TX 99]	08-17-1988	
		Excerpts from Dialysis and Transplantation, June, 1988, Volume 17, No. 6 [TX 100]	06-00-1988	
		Notes from November 8, 1988, telephone conversation between Zbylut J. Twardowski, M.D. and Bradford C. Fowler from Bradford C. Fowler's May, 1988 - December, 1990 telephone log [TX 101]	00-00-1998	
		Photograph taken January 18, 1989, depicting three wire models of swan neck pigtail intravenous catheters made by Zbylut J. Twardowski, M.D. [TX 102]	01-18-1989	
		Photograph taken January 18, 1989, depicting Bradford C. Fowler, Zbylut J. Twardowski, M.D. and Wayne E. Quinton [TX 103]	01-18-1989	
		Memo dated January 21, 1989, from Bradford C. Fowler to Quinton Instrument Co. personnel regarding Zbylut J. Twardowski, M.D.'s January 16-18, 1989 visit [TX 104]	01-21-1989	
		Notes form March 23 and April 3, 1989 telephone conversations between Zbylut J. Twardowski, M.D. and Bradford C. Fowler from Bradford C. Fowler's May, 1988-December, 1990 telephone log [TX 105]	00-00-1988	

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				Application Number	08/412,114	
INF	ORMATION E	DISCLOS	JRE	Filing Date	March 28, 1995	<del></del>
	STATEMENT BY APPLICANT			First Named Inventor	Twardowski et al.	
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	(Use as many sheets	as necessary)		Examiner Name	Unknown	
Sheet	15	of	19	Attorney Docket Number	08366.0005-00	<del></del>

NONPATENT LITERATURE DOCUMENTS						
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		TWARDOWSKI RECORD INTERFERENCE 103,988				
		DESCRIPTION	DOCUMENT DATE	***************************************		
		Collection of pages from Quinton Instrument Co.'s PRC (Project Review Committee) reports authored by Bradford C. Fowler [TX 106]	01-31-1989	·		
		Certified copy of the prosecution history of US Patent 5,324,274 to Martin (uncertified version is Twardowski Exhibit 90) [TX 107]	06-28-1994			

··		NONPATENT LITERATURE DOCUMENTS			
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	VAS-CATH v. CURATORS OF THE UNIVERSITY OF MISSOURI WD MO 2:05-CV-00400 GAF				
		DESCRIPTION	DOCUMENT DATE		
		Complaint	09-22-2003		
		Defendant the University's Motion to Dismiss for Lack of Personal Jurisdiction	11-10-2003		
		Defendant the University's Motion to Dismiss Based on the Eleventh Amendment	11-10-2003		
		FIRST AMENDED COMPLAINT for Declaratory and Prospective Injunctive Relief	12-09-2003		
		Summons in a Civil Case (of Curators of the University of Missouri to answer the amended complaint	12-08-2003		
		Plaintiff Vas-Cath's Opposition to Defendant's Motion to Dismiss Based Upon the Eleventh Amendment	12-15-2003		

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet	16	of	19
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Complete if Known			
Application Number	08/412,114		
Filing Date	March 28, 1995		
First Named Inventor	Twardowski et al.		
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Attorney Docket Number	08366.0005-00		

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		VAS-CATH v. CURATORS OF THE UNIVERSITY OF MISSOURI WD MO 2:05-CV-00400 GAF		•
·		DESCRIPTION	DOCUMENT DATE	
		Plaintiff Vas-Cath, Inc.'s Opposition to Defendant Curators of the University of Missouri's Motion to Dismiss for Lack of Personal Jurisdiction  1. Declaration of Zwacki.  2. Declaration of Bradley S. Lui with exhibits 2-20:  2. Preliminary Amendment and 1.607 request  B. Claim chart  3. 1.602 Identification of Interest  4. Final Decision  5. MU Journalism's Washington Program  6. MU's Washington Program Course Outline  7. MU's Washington Program Guidelines for Project Supervisors  8. MU President Pacheco  9. Pacheco reviews first year at MU  10. Citizenship Washington Focus trip 2004 to DC  11. MU Extension Henry County 4-H events  12. Picture list  13. MU European Union Center  14. European Union and MU Journalism exchange program  15. MU Archive report of content of files from Moody's office regarding association reports, etc.  16. Article from Consumer Bankruptcy News Nov. 26, 2002  17. Article from PR Newswire, Feb. 19, 1997  18. Article from Omaha World Herald, Mar. 7, 1997  19. Letter to Lui from McCurdy, Dec. 10, 2003  20. Letter to McCurdy from Lui, Dec. 11, 2003	12-15-2003	
		Defendant The University's Reply in Support of its Motion to Dismiss Based on the Eleventh Amendment	01-15-2004	
		Defendant the University's Reply in Support of its Motion to Dismiss for Lack of Personal Jurisdiction	01-15-2004	
		Defendants' Motion to Dismiss the First Amended Complaint Based on the Eleventh Amendment; Proposed Order	01-29-2004	
		Defendants' Motion to Dismiss the First Amended Complaint for Lack of Personal Jurisdiction and Failure to State a Claim; Proposed Order; Declaration of Vickie M. Eller	01-29-2004	
		Order [dismissing MU motions to dismiss original complaint without prejudice] [dkt 38]	02-17-2004	
		Plaintiff Vas-Cath, Inc.'s Opposition to Defendants' Motion to Dismiss the First Amended Complaint for Lack of Personal Jurisdiction and Failure to State a Claim	03-01-2004	

Examiner	Date	
Signature	Considered	

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet	17	of	19

Complete if Known		
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		VAS-CATH v. CURATORS OF THE UNIVERSITY OF MISSOURI WD MO 2:05-CV-00400 GAF		
		DESCRIPTION	DOCUMENT DATE	
		Plaintiff Vas-Cath's Opposition to Defendants' Motion to Dismiss the First Amended Complaint Based Upon the Eleventh Amendment; Declaration of Bradley S. Lui with Exhibits 20-33  Exh 20 Affidavit of Lui  Exh 21 Summons - Sugary  Exh 22 Summons - Braxton  Exh 23 Mail receipt executed by Shagouri  Exh 24 Summons - Atkins/Hoskins  Exh 25 Summons - Bennett/Hoskins  Exh 26 Summons - Cairns/Hoskins  Exh 27 Summons - Eller/Hoskings  Exh 28 Summons - James/Hoskins  Exh 29 Summons - McGinnis/Hoskins  Exh 30 Summons - Ream/Hoskins  Exh 31 Summons - Silverstein/Hoskins  Exh 32 Summons - Walker/Hoskins  Exh 33 Summons - Walsworth	03-01-2004	
		Defendants' Reply in Support of its Motion to Dismiss the First Amended Complaint Based on the Eleventh Amendment	03-22-2004	
		Defendants' Reply in Support of its Motion to Dismiss the First Amended Complaint for Lack of Personal Jurisdiction	03-22-2004	
		Defendant the University's Notice of Supplemental Authority Regarding the Eleventh Amendment and attaching Xechem Int'l v. Univ. TX MD Anderson Cancer Center	10-13-2004	
		Plaintiff Vas-Cath's Response to Defendant the University's Notice of Supplemental Authority Regarding the Eleventh Amendment	10-29-2004	
		Order Directing Transfer of Case [dkt 48]	04-15-2005	
		Defendants' Request for Consideration of Outstanding Motions and Request for Oral Argument on Outstanding Motions [dkt 65]	08-11-2005	
		Joint Proposed Scheduling Order and Discovery Plan [dkt 66]	08-26-2005	
		Plaintiff's Response to Defendants' Request for Consideration of Outstanding Motions and Request for Oral Argument [dkt 67]	08-26-2005	
		Scheduling and Trial Order [dkt 69]	08-31-2005	
·		Defendants Reply in Support of its Request for Consideration of Outstanding Motions and Request for Oral Argument on Outstanding Motions [dkt 70]	09-09-2005	
		ORDER dismissing Vas-Cath's 1st amended complaint based on 11th Amendment [dkt 71]	10-25-2005	
		Order - entry of order granting MU Motion to Dismiss [dkt 72]	10-25-2005	<del>-</del>
	<del>                                     </del>	Notice of Appeal to Fed. Cir. [dkt 73]	11-17-2005	

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IDS Form PTO/SB/08: Substitute for form 1449A/PTO	Complete if Known		
	Application Number	08/412,114	
INFORMATION DISCLOSURE	Filing Date	March 28, 1995	
STATEMENT BY APPLICANT	First Named Inventor	Twardowski et al.	
STATEMENT DI APPLICANT	Art Unit	Unknown	
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		VAS-CATH v. CURATORS OF THE UNIVERSITY OF MISSOURI WD MO 2:05-CV-00400 GAF		
		DESCRIPTION	DOCUMENT DATE	
		Notice of Entry of Judgment accompanied by Opinion [dkt 75]	01/29/2007	
		Mandate issued by Federal Circuit on Feb. 13, 2007 [dkt 76]	10-16-2007	
		Order - Plaintiff files status report within 15 days [dkt 77]	10-16-2007	<del></del>
· · ·		Vas-Cath's Status Report [dkt 78]	10-31-2007	
		Defendants' Request for Rule 16 Scheduling Conference and Response to Plaintiff's Status Report [dkt 79]	11-02-2007	
		Letter Order from Judge Fennerthat Court will consider remaining issues in Motion to Dismiss for lack of Personal Jurisdiction and for Failure to State a Claim in docket #37; Plaintiff to respond to docket 79 within 5 days re dismissal of individually named defendants	11-09-2007	
		Letter to Judge Fenner agreeing that individual defendants may be dismissed	11-14-2007	
		Order [dkt 80] [Granting Defendant's Motion to Dismiss for Failure to State a claim in Counts I & II]	12-06-2007	
		Judgment - [dkt 81] [grants Defendant's motion to dismiss as to all parties]	12-07-2007	
		Notice of Appeal [dkt 82]	01-04-2008	
		Order dismissing appeal and Mandate from Federal Circuit-[dkt 85]	03-28-2008	

		<u> </u>
Examiner	Date	
Signature		
Olghatale	Considered	

IDS Form PTO/SB/08: Substitute for form 1449A/PTO				Complete if Known		
		Application Number	08/412,114			
INF	ORMATION D	DISCLOSU	JRE	Filing Date	March 28, 1995	
STATEMENT BY APPLICANT				First Named Inventor	Twardowski et al.	
				Art Unit	Unknown	
(Use as many sheets as necessary)				Examiner Name	Unknown	
Sheet	19	of	19	Attorney Docket Number	08366 0005-00	

		NONPATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. <sup>1</sup>	( )					
		DESCRIPTION	DOCUMENT DATE				
		Notice of Docketing and official caption	11-29-2005				
		Corrected Brief of Plaintiff-Appellant Vas-Cath, Inc.	02-23-2006				
		Brief of Defendants-Appellees Curators Of The University Of Missouri, Don Walsworth, Cheryl D.S. Walker, Anne C. Ream, M. Sean Mcginnis, Marion H. Cairns, Angela M. Bennett, Thomas E. Atkins, Vicki M. Eller, Mary L. James and Connie Hager Silverstein,	04-11-2006				
		Reply Brief of Plaintiff-Appellant Vas-Cath, Inc.	05-03-2006				
		Oral Argument Transcript	09-05-2006				
		Decision	01-23-2007				
		VAS-CATH v. CURATORS OF THE UNIVERSITY OF MISSOURI U.S. COURT OF APPEALS FOR THE FEDERAL CIRCUIT APPEAL 08-1159					
		Notice of Docketing and official caption	1-16-2008				
		Motion of Plaintiff-Appellant Vas-Cath, Incorporated to Dismiss Appeal	3-13-2008				

Examiner	 Date	
Signature	Considered	